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ORIGINAL ARTICLE

Music-supported therapy in the rehabilitation of subacute stroke patients: a randomized controlled trial

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The effect of music-supported therapy (MST) as a tool to restore hemiparesis of the upper extremity after a stroke has not been appropriately contrasted with conventional therapy. The aim of this trial was to test the effectiveness of adding MST to a standard rehabilitation program in subacute stroke patients. A randomized controlled trial was conducted in which patients were randomized to MST or conventional therapy in addition to the rehabilitation program. The intensity and duration of the interventions were equated in both groups. Before and after 4 weeks of treatment, motor and cognitive functions, mood, and quality of life (QoL) of participants were evaluated. A follow-up at 3 months was conducted to examine the retention of motor gains. Both groups significantly improved their motor function, and no differences between groups were found. The only difference between groups was observed in the language domain for QoL. Importantly, an association was encountered between the capacity to experience pleasure from music activities and the motor improvement in the MST group. MST as an add-on treatment showed no superiority to conventional therapies for motor recovery. Importantly, patient's intrinsic motivation to engage in musical activities was associated with better motor improvement.

Keywords: stroke; rehabilitation; music-supported therapy; randomized controlled trial

Introduction

Stroke is one of the main causes of death and long-term disability and is a significant burden on individuals and health care systems.^{1,2} Among other consequences, the majority of stroke survivors present paresis of the upper extremity.³ These deficits can lead to limitations in activities of daily life and restrictions in participation, thus decreasing the quality of life (QoL) of stroke patients.^{4,5}

The recovery of motor deficits relies on rehabilitation programs, which are based on the principles of individualization, high intensity, and mass

repetition of movements.⁶ These programs usually include physiotherapy and occupational therapy sessions on a daily basis during the first 6 months and up to a year following the stroke.⁷ In these sessions, a wide range of techniques are used, although a recent meta-analysis only supported the use of modified constraint-induced movement therapy and task-specific training in the first 4 weeks poststroke.⁸ In addition, other emerging evidence-based techniques could be introduced into the rehabilitation programs as add-on treatments to boost the recovery of stroke patients.^{9,10} These techniques are designed to improve not only motor deficits but

also other relevant aspects, including motivation, treatment adherence, and mood, all of which are known to contribute to the success of the rehabilitation process.^{11,12} For instance, music practice as a therapy for stroke patients is an enjoyable activity that includes complex and coordinated movements while placing a high demand on cognitive functions, such as attention and working memory, as well as modulating mood.^{13–17} Music performance facilitates auditory–motor coupling,¹⁸ increases the adherence to physical exercises,¹⁹ and promotes social bonding during group performance.^{20–22} Some of these positive aspects could be partly mediated by the dopaminergic reward system, which is known to be highly responsive to music and involved in learning, memory, and the modulation of synaptic plasticity.^{23,24} Recently, optimization of motor learning has also been closely associated with intrinsic motivational factors.²⁵ Both intensive motor training and motivational aspects could be effectively combined during music practice to improve motor recovery.

Specifically, music-supported therapy (MST)²⁶ aims to enhance the motor deficits of the upper extremity through the training with musical instruments and provides real-time auditory feedback about the performance, which serves as a basis for motor learning.^{27,28} Studies in the subacute and chronic stage of the rehabilitation process have demonstrated that stroke patients boost their motor function after the MST training.^{26,29–31} A 4-week program of MST can improve dexterity, smoothness, and velocity of movements and induce intrahemispheric functional reorganization within the lesioned hemisphere.^{18,32,33} MST targets motor deficits, but it can boost some aspects of cognition, mood, and QoL.¹⁸ Although the use of music-based therapies for the neurological population seems promising, high-quality randomized controlled trials (RCTs) are scarce.³⁴ Moreover, it is still unclear whether adding MST to the conventional rehabilitation program can enhance motor recovery in subacute stroke patients. Furthermore, no previous study has directly compared the changes observed in emotional and QoL domains of subacute stroke patients treated with MST to standard treatment.

In this study, an RCT was designed with the aim of testing the effectiveness of adding MST to a program of conventional rehabilitation for subacute stroke patients. In contrast to previous studies with

similar aims,²⁶ in the present RCT, we controlled for the duration of the intervention provided to participants, making it equal in the experimental and the control groups. We hypothesized that patients treated with additional MST would show a major improvement in their upper extremity motor function compared with patients treated only with conventional therapies. We also expected an enhancement in other cognitive domains as well as in mood and QoL after receiving MST. Finally, and considering the importance of intrinsic motivation and engagement in the treatment success,²⁵ we predicted that motor improvements in the MST group would be related to patient's individual differences in their capacity to experience pleasure in music activities.

Methods

Study design

A two-arm, parallel-group RCT was conducted in which a program of 4 weeks of MST was compared with conventional therapy in addition to a standard rehabilitation program. Before and after the 4-week treatment, the motor and cognitive functions as well as mood and QoL of the participants were evaluated. A follow-up evaluation of motor functions was also carried out at 3 months to assess the retention of gains. The study was approved by the ethical review board of the Hospital del Mar Medical Research Institute in Barcelona (registered trial at clinicaltrials.gov, ID: NCT02208219).

Participants

Subacute stroke patients involved in a program of outpatient rehabilitation at the Department of Physical Medicine and Rehabilitation at the Hospitals del Mar i de l'Esperança were assessed for recruitment from December 2013 to May 2017. Eligibility criteria were (1) mild-to-moderate paresis of the upper extremity after a first-ever stroke, (2) less than 6 months after the stroke, (3) age between 30 and 75 years, (4) no major cognitive deficits affecting comprehension (Mini-Mental State Examination³⁵ > 24), (5) no neurological or psychiatric comorbidity, (6) no previous formal musical education, and (7) ability to speak Spanish and/or Catalan. Mild-to-moderate paresis was defined as having a score between 2 and 4 in the Medical Research Council Scale for Muscle Strength³⁶ at the distal muscles of the upper extremity.

The recruitment was performed by a medical doctor specialized in physical medicine and rehabilitation who provided information about the study in written and verbal format. Patients who agreed to participate signed an informed consent form.

Randomization

Participants were randomly allocated to a group receiving MST (MST group, $n = 20$) or to a conventional therapy group (CT group, $n = 20$) in addition to the standard program of rehabilitation. The randomization was stratified for laterality of the affected extremity. A statistician prepared a computer-generated random sequence, which was only accessible to one member of the research team who did not take part in the enrollment, evaluation, or treatment sessions. This researcher was responsible for informing the therapist about the group allocation of the participant at the beginning of the intervention.

Treatment

Both groups received an outpatient rehabilitation program that consisted of two 1-h group sessions of occupational therapy and physiotherapy a day (5 days per week, 10 h in total per week). During the occupational therapy sessions, functional and task-specific activities were trained to improve the motor performance of the affected upper extremity. In the physiotherapy sessions, patients exercised walking, balance, and global mobility. In addition to this standard program, participants were randomized into the MST or CT groups to receive 20 individual sessions (5 sessions per week, 30 min each) of MST in the MST group or extra time for exercises for the upper extremity in the CT group.

Participants in the MST group were trained to play a keyboard and an electronic drum set with the affected upper extremity following a modular therapy regime with stepwise increase of complexity. Participants in the CT group received individual training of the upper extremity, which included passive mobilization, stretch and progressive resistance exercises, and task-specific training. This group was conceived as an active control group (see the Appendix, online only, for a detailed explanation of exercises in the MST and CT groups). In both groups, the number of additional training hours

was equal, and the sessions were administered individually by an occupational therapist.

Evaluation of participants

Demographic and clinical variables, such as age, gender, etiology of stroke, lesion location, date of stroke, and the scores of the National Health Institute Stroke Scale³⁷ and the modified Rankin Scale³⁸ at discharge of the stroke unit, were collected from medical records.

Primary outcome. The primary outcome was the functional movements of the paretic upper extremity measured with the action research arm test (ARAT)³⁹ at the end of the treatment.

Secondary outcomes.

Motor outcomes. Two measures of motor impairment were used: the upper extremity subtest of the Fugl–Meyer assessment⁴⁰ and grip strength⁴¹ measured with a dynamometer as the mean of three trials. Fine dexterity was assessed with the nine-hole pegboard test⁴² and box and blocks test.⁴³ The functional use of the affected extremity in activities of daily living was evaluated with the Chedoke Arm and Hand Activity Inventory (CAHAI).⁴⁴ The motor function evaluation was performed at baseline, after the intervention, and at a 3-month follow-up by an occupational therapist with experience in neurorehabilitation.

Cognitive outcomes. The cognitive evaluation was specifically focused on executive functions and memory. Working memory and attention were evaluated using the digit span (forward and backward) subtest from the Wechsler Adult Intelligence Scale III,⁴⁵ response inhibition by the Stroop task,⁴⁶ and processing speed and mental flexibility by the trail-making test.⁴⁷ Furthermore, the Rey auditory verbal learning test (RAVLT)⁴⁸ and the story recall from the Rivermead behavioral memory test⁴⁹ were both used to assess verbal memory. The cognitive assessment was performed at baseline and after the intervention by a neuropsychologist.

Mood and QoL outcomes. Mood was evaluated with the Profile of Mood States,⁵⁰ the Beck Depression Inventory Scale,⁵¹ the Positive and Negative Affect Scale,⁵² and the Apathy Evaluation Scale.⁵³ QoL was assessed with the Stroke Specific QoL Scale⁵⁴ and health-related QoL with the health survey questionnaire SF36.⁵⁵ Questionnaires for mood and QoL were given to patients to be filled out at

home before and after the treatment. The Barcelona Music Reward Questionnaire⁵⁶ was completed by patients at baseline to evaluate individual differences in their capacity to experience pleasure from engaging in musical activities.

Participants also underwent an assessment with somatosensory evoked potentials and magnetic resonance imaging at the beginning and at the end of the treatment to obtain measurements of brain plasticity (structural and functional) as well as an evaluation of movement kinematics. This part of the study will be reported in future publications.

Blinding

All of the evaluators were blinded to participants' assigned treatment groups. Participants were inevitably aware of the treatment received, but they were asked not to inform the evaluators about the intervention, and no information about the specific differences between groups was provided to them.

Statistical analysis

Statistical analysis was performed using SPSS 21 software (SPSS Inc, Chicago, IL). A *t*-test for independent samples and the Pearson χ^2 test were used for quantitative and nominal variables to test differences between groups at baseline. To test the effect of the intervention between groups, *t*-tests for independent samples were used for the change scores from baseline to posttreatment and from baseline to follow-up at 3 months. The within-group differences were tested using paired samples *t*-tests. The level of significance was set at 0.05.

Results

Demographic and clinical characteristics

A total of 178 stroke patients receiving an outpatient rehabilitation program in the recruitment site were assessed for eligibility (Fig. 1). Among them, 129 did not meet the inclusion criteria, and 9 declined to participate. Of the 40 patients enrolled in the study, 20 were assigned to the MST group and 20 to the CT group. In the MST group, one patient was transferred to another clinic after randomization. There were no significant differences in demographic and clinical variables between groups at baseline, as outlined in Table 1, except for the variables etiology of stroke and number of participants with cortical lesions. The CT group had a major distribution of hemorrhagic strokes compared with the MST group, and the number of participants who had lesions that

involved cortical regions was higher in the MST group than in the CT group (for a detailed clinical description of participants, see Fig. S1 and Table S1, online only). The number of hours of rehabilitation that patients received before enrollment was equal between groups. Importantly, there were no significant differences between groups at baseline in any of the assessments used for primary and secondary outcomes.

At the follow-up evaluation at 3 months, three participants in the MST group (two unable to contact and one withdraw) and two participants (unable to contact) in the CT group dropped out. With regard to the secondary outcomes, some participants could not complete all the questionnaires at the posttreatment evaluation owing to fatigue but were included in the study, as they underwent most of the evaluation. The exact number of participants per test is provided in Tables S2–S5 (online only).

Primary outcome

For the overall score of the ARAT, no differences in change scores were found between groups at posttreatment and 3-month follow-up (Fig. 2 and Table S2, online only). Both groups significantly improved after the intervention. The MST group had a mean improvement of 9.8 (± 7.9) points after the treatment ($t(18) = -5.37$, $P < 0.001$, $d = 1.23$) and 12.4 (± 14) at the follow-up evaluation ($t(15) = -3.55$, $P < 0.005$, $d = 0.89$). The CT group improved 6.7 (± 7.9) points after the treatment ($t(19) = -3.75$, $P = 0.001$, $d = 0.84$) and 7.3 (± 8.1) at the follow-up performed at 3 months ($t(17) = -3.82$, $P = 0.001$, $d = 0.90$). The minimal detectable change (MDC) for this test is a score of 5.7 points; and 14 of 19 participants in the MST group reached the MDC level after treatment, and 11 out of 16 did it at 3 months. In the CT group, 9 of 20 participants presented a change that was clinically relevant after treatment, and 9 out of 18 reached the MDC level at 3 months (Fig. 2). The difference between groups in the number of patients who reached the MDC was not significant ($\chi^2(1) = 3.31$, $P = 0.069$ for posttreatment and $\chi^2(1) = 1.22$, $P = 0.268$ for follow-up).

Secondary outcomes

Regarding the secondary motor outcomes, no significant differences were observed for the group comparisons after treatment or at follow-up (Fig. 2 and Table S2, online only). The within-group analyses revealed that both groups improved after

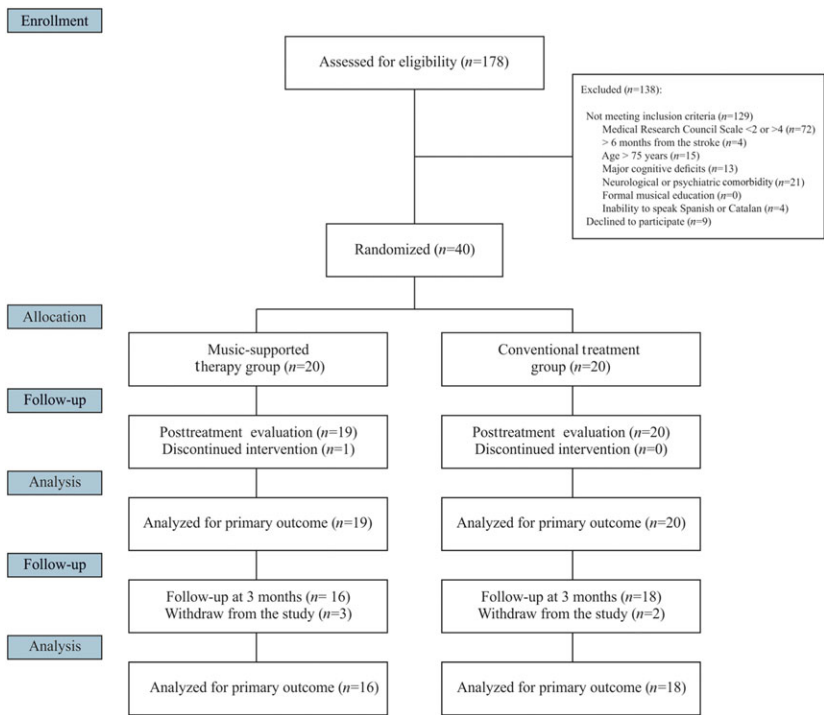


Figure 1. Study design and participant flow. Flow diagram with the phases of the two-arm, parallel randomized controlled trial and the number of participants per group in each of the phases.

treatment and at follow-up in the Fugl–Meyer assessment, nine-hole pegboard test, box and blocks test, and the CAHAI (Table S2, online only).

For the cognitive outcomes, groups did not differ in the change scores from baseline to posttreatment (Table S3, online only). However, when testing the within-group differences, participants in the MST group improved in verbal learning of the RAVLT ($t(17) = -2.67$, $P < 0.05$, $d = 0.97$).

For the mood and QoL outcomes, the change score of the language domain of the Stroke Specific QoL Scale was significantly different between groups ($t(35) = 2.96$, $P = 0.005$, $d = 0.20$) (Fig. 3 and Tables S4 and S5, online only). The MST group improved in the language domain from baseline to posttreatment ($t(18) = -2.23$, $P < 0.05$, $d = 0.54$), while the CT group did not show any improvement. There were no significant differences between groups in the change scores of the other tests assessing mood and QoL. The within-groups analyses in the MST group showed a reduction in the fatigue-inertia component of the Profile of Mood States ($t(16) = 2.45$, $P < 0.05$, $d = 0.62$) and the negative affect measured with the Positive and Negative

Affect Scale after the treatment ($t(16) = 2.25$, $P < 0.05$, $d = 0.64$) (Fig. 3). In this group, the overall score of the Stroke Specific QoL Scale ($t(18) = -2.66$, $P < 0.05$, $d = 0.64$), as well as the self-care ($t(18) = -3.44$, $P < 0.005$, $d = 0.80$) and productivity domains ($t(18) = -3.57$, $P < 0.005$, $d = 0.83$) improved after the therapy. Patients in the MST group also presented an improvement in the physical and social function components of the SF-36 Health-related QoL ($t(16) = -2.24$, $P < 0.05$, $d = 0.54$, $t(16) = -3.70$, $P < 0.005$, $d = 0.90$) (Fig. 3). In the CT group, only a significant difference was found for the self-care domain of the Stroke Specific QoL after the treatment ($t(17) = -3.95$, $P = 0.001$, $d = 1.04$).

Music reward experiences and response to MST

A positive correlation was found between the improvement in ARAT at 3 months and the sensory-motor component of the Barcelona Music Reward Questionnaire for the MST group ($r = 0.562$, $P = 0.024$) but not for the CT group ($r = -0.305$, $P < 0.21$) (Fig. 2). Patients in the MST group with

Table 1. Demographic and clinical variables for both groups at baseline

	MST group (<i>n</i> = 19)	CT group (<i>n</i> = 20)	<i>P</i> value
Demographic variables			
Age (range)	60.1 (45–74)	62.5 (49–72)	0.314
Gender			
Females (%)	8 (42.1)	8 (40)	0.894
Males (%)	11 (57.9)	12 (60)	
Clinical variables			
Stroke etiology			
Ischemic (%)	18 (94.7)	14 (70)	0.044*
Hemorrhagic (%)	1 (5.3)	6 (30)	
Lesion location			
Cortical (%)	7 (36.8)	1 (5)	0.014*
Subcortical (%)	16 (84.2)	16 (80)	0.732
Brainstem (%)	2 (10.5)	3 (15)	0.676
Cerebellum (%)	1 (5.3)	0 (0)	0.299
Time since stroke (range)	65.8 (32–162)	64.9 (28–136)	0.085
NHISS (range)	5.8 (2–14)	5.3 (2–9)	0.434
Modified Rankin Scale (range)	3.5 (3–4)	3.2 (2–4)	0.237
Fugl–Meyer baseline (range)	45.3 (24–65)	45.8 (21–65)	0.904
Rehabilitation received before enrollment			
Physiotherapy	26.6 (20.4)	17 (12.1)	0.088
Occupational therapy	23.5 (19.7)	19.1 (16)	0.452
Speech therapy	14.2 (12.4)	12.2 (5.9)	0.784
Reward in musical activities			
Barcelona Music Reward Questionnaire	73.9 (10.6)	71.8 (13.6)	0.594

NOTE: Absolute frequencies are shown for the variables gender, stroke etiology, and lesion location. For the rest of variables, the mean and standard deviation are shown, unless indicated otherwise. The differences between groups were evaluated with *t*-tests for independent samples for quantitative variables and the Pearson χ^2 test for nominal ones.

**P* < 0.05.

higher capacity to experience pleasure from musical activities (sensorimotor component) showed a major improvement in the ARAT at 3 months. Significant differences were observed between both correlations using the Fisher’s *z* test (*z* = 2.4, *P* < 0.05).

Discussion

In this RCT, we examined the effectiveness of adding MST to a rehabilitation program for subacute stroke patients and compared it to standard rehabilitation alone after controlling for the total duration of the intervention. Regarding our primary and secondary motor outcomes, no group differences were observed at the end of the treatment or at the 3-month follow-up (Fig. 2). With regard to the mood and QoL secondary outcomes, a larger improvement in the MST group was found for the language domain of the Stroke Specific QoL Scale after treatment when compared with the CT

group. Importantly, in the MST group, a significant association was encountered between patients’ individual differences in their capacity to experience pleasure from engaging in musical activities and motor improvement (primary outcome at 3-month follow-up) (Fig. 2). This association was not present in the CT group.

No superiority effect in adding MST to a standard program of rehabilitation at the motor level was observed in the present trial. Both groups received the same standard program, and, in the CT group, additional training was provided to compensate for the extra time that the MST group received. As expected in the subacute stage, patients in both groups improved their motor deficits, showing an enhancement in dexterity, functional movements, and motor performance in activities of daily living. Our results differ from the study of Schneider and colleagues,²⁶ in which patients receiving

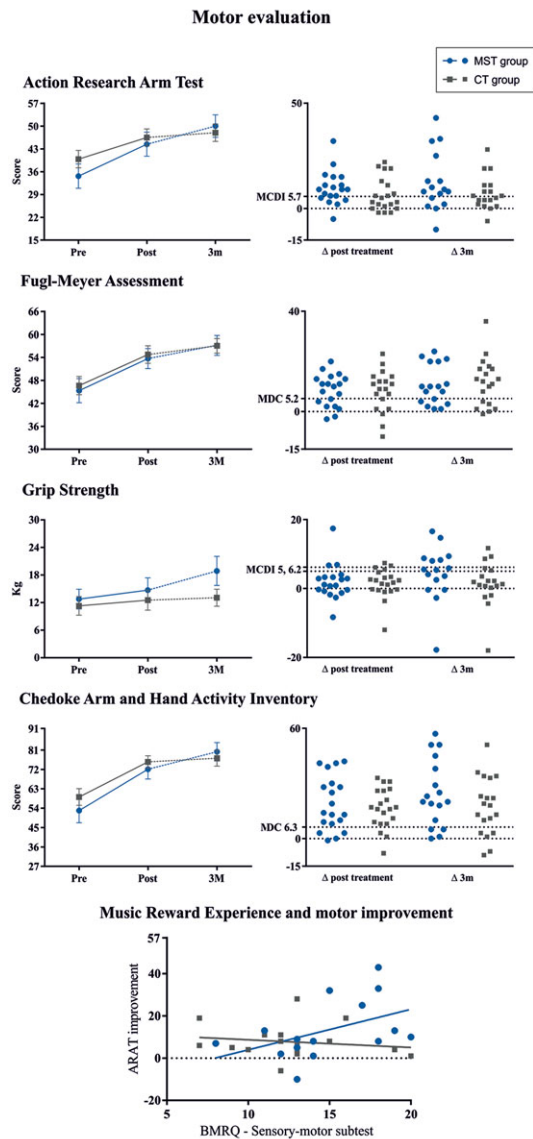


Figure 2. Results for the primary and secondary motor outcomes. Results for the action research arm test (ARAT), the Fugl-Meyer assessment, the grip strength, and the Chedoke Arm and Hand Activity Inventory at baseline (pre), after the intervention (post) and at the 3 months follow-up (3 months) are shown for both groups. For each test, the improvements from baseline to posttreatment (Δ post-treatment) and from baseline to the follow-up at 3 months (Δ 3 months) are shown for both groups. The dotted lines indicate the threshold of the minimal detectable change (MDC) or minimally clinically important difference (MCID) of each test. The correlation between the sensorimotor component of the Barcelona Music Reward Questionnaire (BMRQ) and the improvement in the ARAT at 3 months is shown for both groups.

MST in addition to other standard rehabilitation techniques increased the speed and smoothness of movements as well as their overall motor function when compared with a control group. In this study, the experimental and control group received around 13.5 h of conventional rehabilitation within 3 weeks. In addition, the experimental group spent 30 min more per day (3 weeks) undergoing MST, but no extra rehabilitation time was provided to the control group. In our study, groups received nearly 40 h of conventional rehabilitation during 4 weeks, and both the MST and CT groups were provided 30 min more per day of MST or extra training with conventional therapies. Whereas the improvement of the MST group is similar in both studies, the training intensity of the control group might explain the different results obtained in the two studies. An important aspect to consider for future trials is to what extent the MST program might show larger effects when added to standard rehabilitation programs of shorter duration and intensity. MST could boost motor improvement when fewer resources could be devoted to individualized conventional physiotherapy and occupational therapy programs after subacute stroke.

An outstanding finding in our study is that, in the MST group, patients' intrinsic motivation to participate and enjoy musical activities (and in particular musical activities involving a strong sensorimotor component (e.g., tapping to the beat, humming, singing, or dancing)) correlated with a larger improvement in the primary motor outcome at 3 months when compared with the CT group. This result agrees with recent proposals in the field of motor learning highlighting the importance of motivational factors in motor skill learning.²⁵ Moreover, recent studies have highlighted the significant role of intrinsic motivation in successful learning, an effect mediated by midbrain dopaminergic pathways and their interaction with reward-memory circuits.^{57–59} MST training is adapted to patient's needs, providing exercises that challenge the patient but at the same time are achievable, promoting autonomy and giving patients control over the learning experience. This might reduce the perceived task difficulty, distracting attention from the effort required,⁶⁰ and increase feelings of self-efficacy.²⁵ At the same time, during the MST sessions, the therapist provides direct positive feedback about the patient's performance,

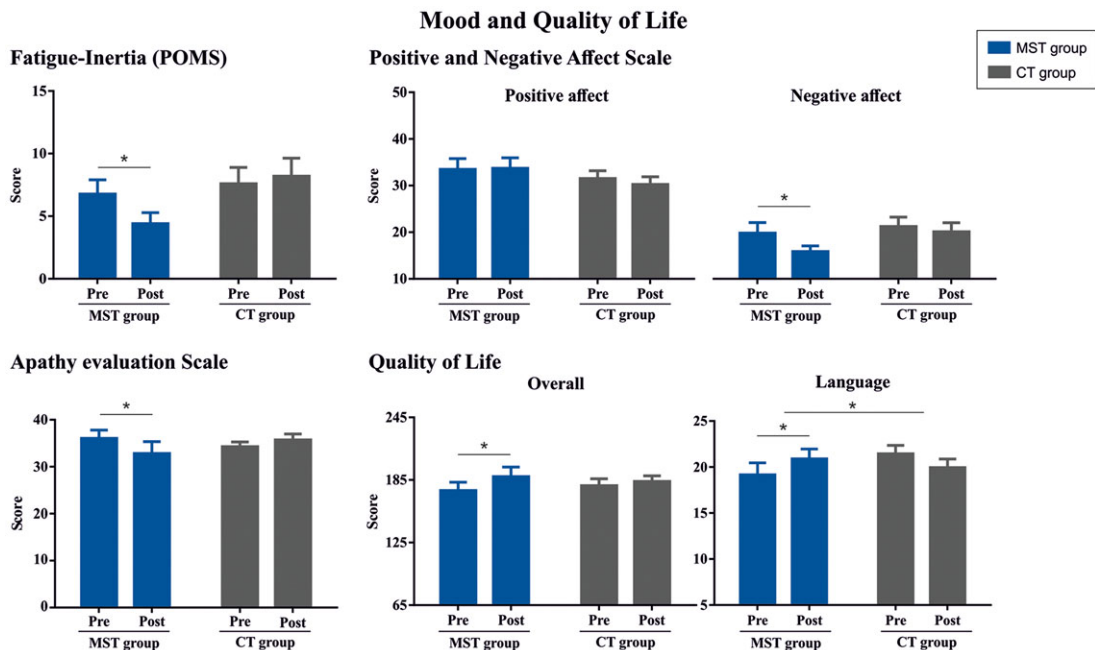


Figure 3. Results for the secondary mood and quality of life outcomes. Results for the fatigue-inertia component of the Profile of Mood States (POMS), the Positive and Negative Affect Scale, the Apathy Evaluation Scale, and the Stroke Specific Quality of life Scale (overall and language domain) are shown for both groups. * $P < 0.05$.

reinforcing the learning experience. Taken together, these motivational aspects could influence motor skill learning in patients with higher reward sensitivity to music.^{61,62}

Although no difference was observed in the improvement at emotional level between the two groups, it is relevant to note that within-group analysis in the MST group revealed a significant reduction in fatigue and negative affect and a better QoL after treatment. The CT group only improved in the self-care QoL domain. Additionally, although there were no differences between groups at the cognitive level, the within-groups analyses showed that the MST group improved their attention and verbal memory after the training. Similar findings have been previously described at the cognitive and emotional levels after MST in chronic stroke patients,^{18,30} as well as in other music programs for neurological population.^{10,13,63,64} Thus, these results reinforce the capacity of music in therapeutic programs as a mood enhancer.⁶⁵

The potential impact of music in stroke recovery fits with the recent findings of the EVREST trial, in which it was observed that recreational activities can be as effective as other sophisticated motor rehabil-

itation programs.⁶⁶ Future studies should consider the intensity and duration of the standard rehabilitation program to which the MST is added, as well as the dose-response of MST.⁶⁷ Moreover, aspects influencing the overall recovery process, such as the lesion location, white matter damage, and the extent of deficits, should be taken into account. The MST program could be modified by introducing new components, such as the self-selection of songs or musical styles, improvisation, music sonification,⁶⁸ and other types of feedback that are in line with the most advanced motor learning paradigms. MST can also be adapted in order to be applied at home or in groups to incorporate more social aspects.^{69,70}

In this study, MST in addition to the rehabilitation program did not show superiority over conventional therapies. Importantly, the patient's intrinsic motivation to engage in music activities should be taken into account, since we observed an association between motivation and motor improvement. In this sense, new questions arise in the design of more individualized rehabilitation programs, tailoring the activities or therapies provided in the rehabilitation centers to the interest of the patient.

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Supporting Information

Additional supporting information may be found in the online version of this article.

Appendix. Description of treatments.

Figure S1. Lesion location of participants.

Table S1. Description of participants.

Table S2. Results for the motor primary and secondary outcomes.

Table S3. Results for the cognitive secondary outcomes.

Table S4. Results for the mood secondary outcomes.

Table S5. Results for the quality of life secondary outcomes.

Competing interests

The authors declare no competing interests.

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